



**THE VOICE OF  
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VENTURE CAPITAL  
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## SPEAKER PROFILE

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### **Gregg Beechey**

Partner

Fried, Frank, Harris, Shriver & Jacobson LLP

Gregg Beechey is a corporate partner in Fried Frank's Asset Management Practice, resident in the London office.

He is a regulatory specialist who focuses on alternative investment funds and the EU Alternative Investment Fund Managers Directive (AIFMD). Gregg counsels a range of financial sector clients in connection with issues related to financial promotion, authorization and ongoing compliance, structuring and marketing, regulatory capital, agreements with customers and service providers, anti-money laundering and Financial Conduct Authority regulation generally.

Prior to joining Fried Frank, Gregg Beechey was a partner at an international law firm.

Gregg is consistently recognized as a leading regulatory practitioner by Legal 500 UK and Chambers UK. He is also recognized by Who's Who Legal as a 'Leading Individual' for Regulatory - EMEA, and is highly regarded by IFLR 1000 for financial services regulatory. Legal 500 UK previously described Gregg Beechey as 'one of the very few lawyers in the City who excels in European fund regulatory work'.

Gregg is a member of Invest Europe's Financial Services and Regulatory Working Group. He is also a member of the Regulatory Equivalence Working Group and the Brexit Advisory Group of the Financial Markets Law Committee. Gregg is a member of the IMC section of the CFA (Chartered Financial Analyst) Society of the UK and a former member of the Financial Conduct Authority's Technical Consulting Group on the AIFMD Depositary Provisions.

Bar Admissions/Licensed Jurisdictions: England & Wales.



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**James Barnard**

Senior Counsel

Travers Smith LLP

James is a senior counsel in the Financial Services and Markets Department.

James advises clients on a broad range of financial services regulatory matters, with a particular focus on the asset management sector.

James' practice includes advising clients on various legal, regulatory and practical matters arising from the Alternative Investment Fund Managers Directive (AIFMD), the Markets in Financial Instruments Directive (MiFID II), the regulatory perimeter, FCA change in control requirements, anti-money laundering compliance (MLD4 and MLD5) and the Senior Managers and Certification Regime (SMCR).

James also advises clients on the impact of, and in preparation for, regulatory changes affecting their business and products, and has advised clients on their projects in respect of Brexit, the Sustainable Finance Disclosure Regulation (SFDR), the Taxonomy Regulation and the UK Investment Firm Prudential Regime (IFPR).

James is a member of the firm's cross-disciplinary Sustainability Working Group bringing together our team of market-leading experts to provide holistic advice on the full spectrum of ESG issues faced by our clients.



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### **James Roslington**

Partner, Financial Services Regulatory

Kirkland & Ellis International LLP

James Roslington is a regulatory partner in the London office of Kirkland & Ellis International LLP.

James has extensive experience advising clients on financial services law and regulation. He has advised on:

- regulatory change including MiFID II and PRIIPs;
- compliance with FCA rules on conflicts of interest, financial promotions, client money and custody;
- FCA authorisation and perimeter issues;
- change of control for regulated entities;
- the marketing and distribution of financial products;
- governance issues including the Senior Managers and Certification Regime (SMCR);
- market abuse, insider dealing, and anti-money laundering.

James is responsible for financial services knowledge management, training and business development in the London office.



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---



**Nishkaam Paul**

Associate

Fried, Frank, Harris, Shriver & Jacobson LLP

Nishkaam Paul is a regulatory lawyer with particular expertise in funds regulation and assists global asset managers in negotiating European financial services regulation.

Nishkaam's practice involves counselling some of the world's largest asset managers on issues relating to the structuring, marketing and maintenance of private funds within the UK and Europe and a breadth of related regulation, with a particular focus on the Alternative Investment Fund Managers Directive, the Sustainable Finance Disclosure Regulation and the Packaged retail and insurance-based investment products (PRIIPs) Regulation. He also advises clients on issues relating to regulated activities and FCA authorisation in the UK, regulatory approvals for changes of control (both in Europe and globally) and regulatory capital.

Nishkaam is a member of multiple industry working groups focusing on European financial services regulation and contributes to sector-specific reference texts.



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**Peter Moore**

Head of Compliance

Cinven

Peter is the Head of Compliance at Cinven and a member of the firm's Legal and Compliance Department.

Prior to joining Cinven, Peter held in-house compliance roles at HSBC and Credit Suisse as well as performing regulatory roles at the UK Financial Services Authority and overseas financial regulators.

Peter has a LLB and LLM from the Queen's University, Belfast.

He joined Cinven in 2016.



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### **Sarah-Jane Denton**

Director, Operational Risk & Environment

Travers Smith

Sarah-Jane has many years of experience advising on a broad range of environmental topics both in support of transactions and in a compliance context. In recent years, she has particularly focused on advising both corporates and financial sector firms including a number of asset manager clients on UK and EU ESG regulation, having particular expertise in climate and sustainability reporting regimes and their impacts on UK and international businesses. Her practice extends to corporate governance, human rights, supply chain risk and related social issues under the ESG umbrella.

Sarah-Jane also has expertise in the more traditional environmental sphere including consenting, contamination, chemicals, health & safety and product regulation. In addition, Sarah-Jane has worked extensively on energy and infrastructure matters, for both renewable and innovative energy solutions providers and traditional, highly regulated utilities.



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### **Simon Powell**

Compliance Officer and Money Laundering  
Reporting Officer (MLRO)

Advent International Ltd

Simon Powell joined Advent in 2006 and is a Director in London. He serves as the Compliance Officer and Money Laundering Reporting Officer (MLRO) for Advent International Ltd.

Simon started his career at Legal & General, one of the UK's leading Life & Pensions businesses, where he held a number of management positions, qualified as an HR professional and is a Chartered member of the Institute of Personnel and Development.

Simon has been a member of the British Venture Capital Association's (BVCA) Regulatory Committee since 2008 and has sat on Invest Europe's Legal & Regulatory Committee since 2019.

Simon is a graduate of the Kingston University Business School.



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**Stephen Osmont**

Conducting Officer

Advent International

Stephen Osmont joined Advent in 2019 and is a Director of Portfolio Management and Finance, based in Luxembourg. He serves as the Conducting Officer responsible for portfolio management, finance, and IT for Advent International Fund Manager S.à r.l. in Luxembourg.

Prior to joining Advent, Stephen was a Director and Head of private debt fund administration at the Aztec Group in Luxembourg. Before joining Aztec, Stephen spent 13 years at Elian Fiduciary Services, where he held a number of different roles within the fund and corporate administration sector.

Stephen holds a BSc (Hons) in Applied and Environmental Geology from the University of Birmingham in the UK. He is a qualified accountant and a Fellow of the Association of Chartered Certified Accountants.



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### **Thomas Woodhead**

Partner

Kirkland & Ellis  
International LLP

Thomas Woodhead is a regulatory partner in the London office of Kirkland & Ellis International LLP.

Thomas has extensive experience in the areas of FCA regulation applying to private fund sponsors, regulatory aspects of fund structuring and advising sponsors on M&A in the financial services sector. Such experience covers all major alternative investment strategies and focuses on new industry developments and regulation.

Thomas advises top-tier sponsors including Arrow Global, Castllake, Hg, Investindustrial, JP Morgan, Montagu Private Equity and Starwood as well as other firms associated with the private funds industry such as Gen II, Universal Investment and Waystone.

Prior to joining Kirkland, Thomas advised international banks on obtaining banking licenses in the UK and large investment brokers on conduct of business and trading compliance, including market abuse and brokerage requirements.