

# REGULATORY & LEGAL ISSUES

Live Online Classroom, 21-24 April 2026

## PROGRAMME

Tuesday, 21 April

### 16:00 - 16:20 Brussels (CET) Introduction

Gregg Beechey, Partner, Fried, Frank, Harris, Shriver & Jacobson  
Nishkaam Paul, Associate, Fried, Frank, Harris, Shriver & Jacobson

### 16:20 - 17:20 Brussels (CET) Regulatory Overview (1)

- Background to the EU Regulatory System
- The European Securities and Markets Authority (ESMA)
- Key Directives
- Fundraising and Marketing
- EuVECA

Gregg Beechey, Partner, Fried, Frank, Harris, Shriver & Jacobson  
Nishkaam Paul, Associate, Fried, Frank, Harris, Shriver & Jacobson

### 17:20 - 18:00 Brussels (CET) Regulatory Overview (2)

- AIFMD
- EU Fund Manager and Non-EU Fund Manager
- Common features of national implementing systems
- Private Placement Regimes
- Key legal and regulatory risks
- PRIIPs & KIDS

Gregg Beechey, Partner, Fried, Frank, Harris, Shriver & Jacobson  
Nishkaam Paul, Associate, Fried, Frank, Harris, Shriver & Jacobson

Wednesday, 22 April

### 9:30 - 10:30 Brussels (CET) Responsible Investment 1

- EU SFDR, EU Taxonomy, sectoral legislation
- EU CSRD, CS3D
- Other ESG regimes of note

Sarah-Jane Denton, Director, Travers Smith

### 10:30 - 10:40 Brussels (CET) BREAK

### 10:40 - 11:20 Brussels (CET) Responsible Investment 2

- The Operational Landscape
- ESG Policies & Procedures
- Transactions, Reporting, & Disclosure
- Market Trends

Sarah-Jane Denton, Director, Travers Smith

**11:20 - 11:30 Brussels (CET) BREAK**

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**11:30 - 12:00 Brussels (CET) Responsible Investment Case Study (group work)**  
Sarah-Jane Denton, Director, Travers Smith

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**12:00 - 13:00 Brussels (CET) MID-DAY BREAK**

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**13:00 - 13:30 Brussels (CET) Fund Structuring**

- Key factors
- EuVECA
- AIFMD
- Capital Requirements

James Roslington, Partner, Kirkland & Ellis International  
Thomas Woodhead, Partner, Kirkland & Ellis International

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**13:30 - 14:10 Brussels (CET) Fund Structuring Case Study (group work)**

James Roslington, Partner, Kirkland & Ellis International  
Thomas Woodhead, Partner, Kirkland & Ellis International

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**14:10 - 14:20 Brussels (CET) BREAK**

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**14:20 - 15:20 Brussels (CET) Fund Marketing**

- Who can I market to?
- What must I send them?
- Key Players
- Key Terms
- Practical issues

James Roslington, Partner, Kirkland & Ellis International  
Thomas Woodhead, Partner, Kirkland & Ellis International

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**15:20 - 16:00 Brussels (CET) Fund Marketing Case Study (group work)**

James Roslington, Partner, Kirkland & Ellis International  
Thomas Woodhead, Partner, Kirkland & Ellis International

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**16:00 - 16:30 Brussels (CET) Operating a Fund**

- Important Laws
- Transparency and Disclosures
- Managing an Investment
- Anti-Asset Stripping

James Barnard, Senior Counsel, Travers Smith  
Grace Throssell, Associate, Travers Smith

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**Thursday, 23 April**

**09:00 - 09:50 Brussels (CET) Valuations & Valuations Case Study (group work)**

- Principles
- External Valuer
- Internal Valuations
- Approach

Cyril Demaria, Consultant, Invest Europe  
James Barnard, Senior Counsel, Travers Smith  
Grace Throssell, Associate, Travers Smith

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**9:50 - 10:00 Brussels (CET) BREAK**

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**10:00 - 10:50 Brussels (CET) Conflicts of Interest & Case Study on Conflicts of Interest (group work)**

- Rules
- Management
- Managing multiple funds

James Barnard, Senior Counsel, Travers Smith  
Grace Throssell, Associate, Travers Smith

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**10:50 - 11:00 Brussels (CET) BREAK**

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**11:00 - 12:00 Brussels (CET) Remuneration & Case Study on Remuneration (group work)**

James Barnard, Senior Counsel, Travers Smith  
Grace Throssell, Associate, Travers Smith

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**12:00 - 14:00 Brussels (CET) MID-DAY BREAK**

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**14:00 - 15:00 Brussels (CET) Corporate / Organisational Issues**

- AIFM Internal organisation - allocation of responsibilities
- Depositary
- Key appointments
- Investor Relations

Peter Moore, Head of Compliance, Cinven  
James Barnard, Senior Counsel, Travers Smith  
Grace Throssell, Associate, Travers Smith

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**15:00 - 15:10 Brussels (CET) BREAK**

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**15:10 - 16:00 Brussels (CET) Financial Crime**

- Anti-Money Laundering and Anti-Terrorist Financing
- Politically Exposed Persons (PEPs)

Simon Powell, Director, Compliance and Risk Management, Advent International

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**Friday, 24 April**

**9:00 - 10:00 Brussels (CET) Financial Crime**

- Embargoes and Sanctions
- Anti-Bribery and Corruption Systems

Simon Powell, Director, Compliance and Risk Management, Advent International  
Peter Moore, Head of Compliance, Cinven

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**10:00 - 10:15 Brussels (CET) BREAK**

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**10:15 - 11:00 Brussels (CET) Financial Crime Case Study**

Simon Powell, Director, Compliance and Risk Management, Advent International  
Peter Moore, Head of Compliance, Cinven

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**11:00 - 11:10 Brussels (CET) BREAK**

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11:10 - 12:00 Brussels (CET) **Financial Crime**

- Market Abuse
- Whistle-blowing

Peter Moore, Head of Compliance, Cinven

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